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Does the application of lumbar mobilizations prior to the Nordic hamstring exercise influence hamstring measures of knee flexor strength, failure point and muscle activity? A replicated randomized cross-over trial

4

Objective: The aims of this study were to quantify the effects of unilateral posterior-anterior
mobilization on force production, failure point and muscle activity of the hamstrings during the Nordic
hamstring exercise (NHE) and explore individual differences in responses.

8 Methods: In a replicated randomized crossover trial, twenty-four participants (age [mean ± SD]: 27 ± 9 6 y, body mass: 82 ± 17 kg, stature: 181 ± 8 cm) completed two standardized intervention (L4/5 10 zygapophyseal mobilizations) and two control conditions. The failure point of the Nordic hamstring 11 exercise was determined with 3D motion capture. Peak force, knee flexor torque and 12 electromyography (EMG) of the Biceps Femoris were measured. Data analyses were undertaken to 13 quantify mean intervention response and explore any individual response heterogeneity.

14 Results: Mean (95% confidence interval) left limb force was higher in intervention vs control by 18.7 15 (4.6–32) N. Similarly, right limb force was higher by 22.0 (3.4–40.6) N, left peak torque by 0.14 (0.06– 16 0.22) Nm and right peak torque by 0.14 (0.05–0.23) Nm/Kg. Downward Force (DWF) angle was decreased in intervention vs control by 4.1° (0.5–7.6) on the side of application. Both peak EMG 17 18 activity (p=.002), and EMG at the DWF (Right) (p=.020) increased in the intervention condition by 16.8 19 (7.1–26.4) and 8.8 (1.5–16.1) (mV), respectively. Mean downward acceleration angle changed by only 20 0.3° (-8.9–9.4) in intervention vs control. A clear response heterogeneity was indicated only for force 21 right (participant x intervention interaction: P=.044; Response heterogeneity SD = 34.5 (5.7–48.4) N). 22 Individual response heterogeneity was small for all other outcomes.

Conclusions: Following UPA mobilization, immediate changes in bilateral hamstring force production
 and peak torque occurred during the NHE. The effect on the NHE failure point was unclear. EMG
 activity increased on the ipsilateral side. Response heterogeneity was generally similar to the random
 trial-to-trial variability inherent in the measurement of the outcomes.

27 Clinical Trials number: NCT03745482 (https://clinicaltrials.gov/ct2/show/NCT03745482)

28 Introduction

29

Hamstring strain injuries (HSI) are common across several sports affecting athletes of all ages, genders, and levels of competition.<sup>1-5</sup> Considerable time can be lost from sport related activity, resulting in diminished performance and financial loss.<sup>6</sup> Despite significant emphasis on injury preventive measures, HSI prevalence continues to rise and recurrence rates remain high.<sup>7-8</sup> Over 80% of HSIs involve the Biceps Femoris Long Head (BF<sub>LH</sub>),<sup>9-11</sup> with the majority occurring in the terminal swing phase of high-speed running,<sup>12</sup> when a forceful eccentric contraction of the hamstrings is required.<sup>13</sup>

37

Lower eccentric hamstring strength is considered one of the main risk factors for future HSI 38 highlighting the importance of eccentric strength for HSI avoidance.<sup>14-17</sup> The Nordic Hamstring Exercise 39 (NHE) has been shown to be an effective way of increasing eccentric hamstring strength and 40 developing higher maximal knee flexor torques whilst reducing HSI incidence by up to 51%.<sup>18</sup> HSI 41 42 incidence rates have reduced significantly in athletes who adopted a NHE program within their regular training with a particularly preventive effect in reducing recurrent injuries.<sup>17,19,20</sup> The NHE activates all 43 hamstring muscles, primarily semitendinosis and Biceps Femoris Short Head (BF<sub>SH</sub>),<sup>21</sup> but also can 44 increase fascicle length in the BF<sub>LH.</sub><sup>22</sup>. Blazevich et al,<sup>23</sup> suggested that the training range of motion is 45 the dominant stimulus for fascicle length adaptation. Athletes with shorter  $BF_{LH}$  fascicles have 46 demonstrated a fourfold greater risk of HSI than those with longer fascicles.<sup>24</sup> HSI risk was reduced by 47 75% for every 0.5 cm increase in fascicle length,<sup>24</sup> indicating the importance of training eccentric 48 hamstring strength in a lengthened state for HSI avoidance.<sup>25</sup> Numerous authors have concluded that 49 50 a lengthened based exercise rehabilitation programme, which can mimic important movements including sprinting and kicking, could be a key strategy of HSI management.<sup>25,26</sup> Therefore, extensibility 51 of the hamstring is key to ensure loading can take place at a maximal lengthened state. 52

54 Due to its anatomical and functional relationship, the lumbar spine is widely seen as an important area to assess and manage as part of a global hamstring management strategy.<sup>27-29</sup> Recently, an 55 56 individualised, multifactorial, criteria-based progressive algorithm was proposed for optimum hamstring injury treatment.<sup>30</sup> Within this, lumbar zygapophysial joint (z-joint) mobilizations are 57 suggested in both the regeneration, and functional phase. Increases in hamstring extensibility 58 59 following unilateral posterior-anterior (UPA) lumbar z-joint mobilizations has been reported in both the general population,<sup>31</sup> and elite athletes.<sup>32</sup> Both increased Biceps Femoris range of motion and 60 61 reduced electromyography (EMG) activity, at the termination of active knee extension, following lumbar z-joint mobilizations has been demonstrated.<sup>33</sup> This EMG reduction is likely due to increased 62 muscle spindle activity which stimulate golgi-tendon organs to produce a muscle reflex inhibition.<sup>34-36</sup> 63 These changes in hamstring extensibility last between 15 and 20 minutes,<sup>37</sup> suggesting UPA lumbar z-64 65 joint mobilizations provide a limited time frame of hamstring adaptations. Nevertheless, due to these 66 reported kinematic and kinetic adaptations, the use of UPA lumbar mobilizations pre NHE could 67 increase the ability for the athlete to extend the hamstring into a desired lengthened state. Therefore, 68 this may be a valuable addition to HSI prevention, and rehabilitation strategies optimizing eccentric 69 strength gains and the muscle's torque-angle curve.

70

71 Six- weeks of eccentric strength training using NHE has been shown to optimise the control of the 72 forward fall component of NHE (kinematic) with a concomitant increase in neuromuscular control (increased EMG activity during NHE).<sup>38</sup> This increase in EMG activity is likely due to the high level 73 74 maximal eccentric activity compared to low level movement/activity and static conditions associated with previous EMG hamstring reductions.<sup>33,37</sup> Therefore, it is unclear if similar changes in extensibility 75 76 would be apparent with previously reported EMG increases. Additionally, the study did not have 77 access to a dynamometer, therefore it is unclear if force and torque also increased alongside muscle 78 length changes. To date, no studies have addressed whether UPA lumbar mobilizations prior to NHE 79 will improve kinematic and neuromuscular performance during the lowering phase of the NHE.

In the context of precision or personalized medicine, it has been deemed important to quantify any inter-individual variability in response to an intervention alongside the quantification of the mean intervention response.<sup>39-45</sup> Such intervention response heterogeneity cannot be quantified robustly using a typical crossover study design.<sup>43</sup> An approach that has recently been proposed to quantify individual differences in the intervention response involves quantifying the participant-by-response interaction from replicated intervention and control conditions.<sup>39,44,45</sup> Such an approach has rarely been adopted in musculoskeletal research.

88

89 Therefore, currently a lack of understanding exists regarding the effect of lumbar mobilizations 90 performed prior to the NHE, specifically regarding the failure point, hamstring EMG activity and force 91 production. The aims of this study were to quantify the effects of UPA mobilizations on force 92 production, failure point and muscle activity of the hamstrings during the NHE and quantify individual 93 differences in responses. Knowledge of the intervention's effects, initially in a healthy population, will 94 provide data for evaluation of its value, prior to use with HSI pathology. We hypothesize the 95 application of UPA z-joint mobilizations will result in an increase of peak force and peak torque, EMG 96 activity and failure point of the NHE.

97

## 98 METHODS

#### 99 Study Design:

Because the proposed intervention was hypothesised to elicit only very short-term changes which would 'wash-out' relatively rapidly, a controlled replicated randomized cross-over design was utilized.<sup>37,42</sup> This reporting will follow recommendations from CONSORT for publishing cross-over trials.<sup>46</sup> Participants were randomized to different trial sequences comprising two intervention (I) trials and two control (C) trials. Each visit was separated by an interval of seven days. Randomization was

- 105 conducted by one investigator (GA) using sealed\_envelope.com allocating each participant to one of
- six primary allocation sequences. The six sequences were:
- 107 C-I-C-I
- 108 C-I-I-C
- 109 C-C-I-I
- 110 I-C-I-C
- 111 I-C-C-I
- 112 I-I-C-C

Ethical approval was received from \*\*removed for review\*\* Ethics committee and the research was conducted in accordance with the Declaration of Helsinki. The trial was registered with clinicaltrials.gov prior to study recruitment (NCT03745482). No changes to the methods were implemented following trial commencement.

117

## 118 Participants:

All participants were recruited, via means of a study flyer, from a population of staff and students at 119 120 Teesside University, United Kingdom, between November 2018 and May 2019. For eligibility all participants were aged 18 and above and were free from musculoskeletal injury of the spine and lower 121 limb. All participants were recreationally active playing a team sport at least once per week 122 (performing moderate intensity activity 3-6 metabolic equivalents, METs).<sup>47</sup> Participants were 123 excluded if they indicated current low back, hamstring or knee pathology; previous spinal or lower 124 limb surgery; or any contraindications to spinal mobilizations.<sup>48</sup> Participants were instructed to refrain 125 126 from caffeine at least four hours prior to testing and avoid strenuous exercise at least 24 hours prior.<sup>47</sup> 127 A total of 29 participants were recruited to the study but four failed to meet the inclusion criteria and 128 one participant withdrew for personal reasons. Therefore, a total of 24 male participants completed 129 the study (age [mean ± SD]: 27 ± 6 y, body mass: 82 ± 17 kg, stature: 181 ± 8 cm). Outcome measures

130 were obtained from all participants who completed the intervention and control conditions twice. All

131 participants were asked at each trial to confirm they continued to meet the studies criteria.

132

## 133 Outcome Measures:

134 The Hamstring Solo (NJ Doherty Solutions, Ireland), and Hamstring Solo Elite app (Version 4.2, ND 135 Sports Performance) is a pressure feedback system which allows the calculation of eccentric force 136 (Newtons) and estimation of peak torque (Newton metres) of the NHE in real time through load cell 137 technology. Participants position themselves on the incline board of the device with ankles fixed below 138 an ankle bar. Participants lowered their torso toward the ground trying to resist the force as slowly as 139 possible by extending at the knee joint until failure. Participants were given visual and coaching cues 140 during familiarization to ensure minimal hip flexion during the trial. Each NHE performance was 141 visually monitored by the trial investigators. Excessive hip movement or the participant not controlling 142 the descent from the start of the movement resulted in the repetition being rejected.<sup>49</sup> We performed 143 pilot testing on 8 participants (age [mean  $\pm$  SD]: 28  $\pm$  6 y, body mass: 96  $\pm$  22 kg, stature: 183  $\pm$  10 cm) 144 over four testing sessions separated by 72 hours to ascertain the reliability of the Hamstring 145 Solo. Standardized changes in the mean were trivial (trials 2 - 1: -0.06, 95% confidence interval (CI), -146 0.26 to 0.19; trials 3 - 2: -0.20, -0.52 to 0.32, trials 4 - 3: -0.04, -0.25 to 0.19) between testing sessions 147 and the force typical error was 10% (8.7% to 13%) with a interclass-correlation coefficient (ICC<sub>3.1</sub>) of 148 0.91 (95% CI: 0.81 to 0.96). The reliability of the solo elite agrees with previous studies of isokinetic dynamometry and the Nordbord.49,50 149

150

Figure 1 – Representative example of the angular displacement of EMG activity of the downward
 phase of a NHE

153

154 \*\*\*INSERT FIGURE 1 ABOUT HERE\*\*\*

### 156 Kinematic data acquisition

157 The failure point of the NHE, is defined as when the participant can no longer produce sufficient eccentric force to control the descent and finishes the exercise.<sup>51</sup> This is characterized by a loss of 158 tension, and sudden increase in knee angular velocity through loss of trunk control.<sup>52</sup> However, there 159 160 is no universally accepted measure of finding the failure point. We determined the kinematic changes 161 during NHE via 3D motion capture. Data was collected during the performance of all the NHE trials across both conditions. We used the Vicon plugin gait (PiG) lower body model marker-set to establish 162 163 the kinematic changes at the knee joint. Retroflected markers (14 mm) with double-side tape were 164 placed bilaterally on the ASIS, PSIS, mid-thigh, lateral knee epicondyle, mid-tibia, lateral malleolus, calcaneus, and 2<sup>nd</sup> toe (dorsal aspect on the 2nd metatarsal heads proximal to the MP joint). Six wall-165 166 mounted Vicon MX13 infrared cameras (Vicon, Vicon Motion Systems Ltd) collected 3D motion 167 capture data at a sampling frequency of 100 Hz. 3D motion capture data was processed via Vicon 168 Nexus (version 1.8.5) using inbuilt pipeline functions to calculate 3D kinematic data.

169

## 170 Kinematic data analysis for NHE

Methods used to establish the failure point range from visual assessment,<sup>53</sup> using an arbitrary cut- off 171 point from an angular acceleration curve of 10 deg·s<sup>-1</sup> and using algorithms to establish changes in 172 angular displacement.<sup>52</sup> We followed a previously published method to determine the failure point 173 during the downward phase of the NHE.<sup>38</sup> All kinematic data were initially filtered off-line within Vicon 174 175 Nexus using a low- pass filter (Fourth-order bi-directional Butterworth filter with a cut-off frequency 176 of 6 Hz), and exported as a .CSV file. Subsequently, each .CSV file was imported into a custom-designed 177 programme in MATLAB (MathWorks, Version 2019a). Briefly, the angular displacement of the left and 178 right knee joint was differentiated to angular velocity using the first derivative method.

179

We calculated the following outcomes, bilaterally, from the angular velocity curve; 1) The angle (°) at
downward acceleration (DWA) was obtained by applying a slope function (using the coefficient from

182 the *polyfit* function) to produce an acceleration curve. However, to smooth the data the slope function 183 was applied over a 200 ms window with a 100 ms overlap. The difference in slopes between one-time 184 window and the next was calculated. The angle at the corresponding time point of the highest slope 185 difference was reported as the point of maximum downward acceleration, and thus loss of eccentric 186 control. 2) Additionally, we identified the first point at which an initial downward inflection occurred 187 in the acceleration curve produced from method 1, which we refer to as the angle at downward fall 188 (DWF). 3) The angle at peak velocity was taken as the angle corresponding to the time point at the 189 maximum velocity from the angular velocity curve. A representative displacement-time curve with the 190 three variables can be seen in Figure 1. The PiG lower body model calculates the knee angle via the 191 sagittal shank axis projected into the plane perpendicular to the knee flexion axis. Knee flexion is the 192 angle in that plane between this projection and the sagittal thigh axis. The sign is such that a positive 193 angle corresponds to a flexed knee. Thus, as the athlete lowers themselves to the floor the angle 194 decreases from ~90°. An angle closer to zero at the failure point would represent greater hamstring 195 extension prior to failure.

196

197 Electromyography (EMG) data acquisition and reduction for NHE

198 Surface electromyography (EMG) was attached to the Biceps Femoris bilaterally during the NHE Prior 199 to application, the skin was shaved and cleaned with a 70% isopropyl alcohol wipe. Noraxon, self-200 adhesive Ag/AgCl snap electrodes (Noraxon USA) were applied to the muscle belly on the line halfway 201 between the ischial tuberosity and the lateral epicondyle of the tibia as per SENIAM guidelines.<sup>54</sup> Once 202 placed, electrodes remained in position throughout the testing procedure to eliminate placement 203 error. A wireless EMG system (Cometa Wave, Zerowire wireless EMG, Cometa Srl) synced directly 204 (utilising analog capture functionality of a Vicon connectivity device) with Vicon Nexus was sampled 205 at 1000 Hz. Vicon Nexus acted as the driver for the EMG system to start data capture to synchronise 206 the EMG and Kinematic data. Data imported into MATLAB (MathWorks, Version 2019a) for further 207 data reduction and filtering. Raw EMG data was filtered off-line using a high pass Butterworth filter,

with a cut off frequency of 20 Hz,<sup>54,55</sup> full wave rectified, followed by a low pass bi-directional
Butterworth filter with a 20 Hz cut-off frequency to create a linear envelope. EMG data was then time
normalized to the kinematic data using spline interpolation (Figure 1). We calculated the following
variables for the EMG; 1) peak EMG amplitude (mV), and 2) EMG amplitude at downward fall (mV).
The peak EMG amplitude was normalized and expressed as a percentage of the peak amplitude of the
EMG value from each of the five repetitions. No changes to outcome measures were implemented
following trial commencement.

215

# 216 Intervention:

217 UPA lumbar mobilizations were applied with the participant in prone position. Mobilizations were 218 applied by a physiotherapist with 15 years clinical experience and postgraduate qualifications in spinal 219 mobilization. Mobilizations were applied to the dominant side decided by kicking foot (right n =220 24).<sup>32,33,37,56</sup> Spinal level was determined by passive physiological intervertebral movement and spinal 221 palpation by the same physiotherapist. Grade 3 UPA lumbar mobilizations, defined as large amplitude 222 oscillations into resistance, were applied to the L4/5 unilateral z-joint for 2 min, three times to reflect common clinical application and previous studies.<sup>32,33,48,56</sup> Mobilizations were applied at a frequency 223 of 2 Hz maintained by a metronome to provide sympathetic nervous system excitability.<sup>57</sup> To ensure 224 225 consistent force application within and between participants, a bipedal force measurement system (F-226 Scan<sup>®</sup> 7.0, Tekscan Inc) was specifically cut and placed under the pisiform of the physiotherapist. 227 Standardized changes in mean force application between replicates were trivial (-0.10, -0.99 to 0.78) 228 N, the typical error was 2.5% (2.0% to 3.6%) with ICC<sub>3,1</sub> of 0.33 (95% CI: -0.08 to 0.64) similar to previous published literature.<sup>33,58</sup> 229

230

## 231 Procedure:

Participants attended the biomedical sciences laboratory on five separate occasions. One
 familiarization session, two intervention and two control trials. The familiarisation session of the NHE

took place at least one week prior to the first testing session. All testing sessions were performed at
the same time of day to reduce the influence of diurnal effects Participant height (cm), mass (kg) and
age (y) were recorded.

237

All participants watched a video of a subject completing the NHE and received verbal instructions. Participants were instructed to start in a kneeling position, with the upper body vertical and straight. The participant was then instructed to slowly lower the upper body towards the ground ensuring no hip flexion, maximising loading in the eccentric phase, before breaking the fall with their hands.<sup>19</sup> The video was shown at the beginning of both the familiarisation session, and all respective control and intervention sessions.

244

245 Participants then conducted a standardized warm-up on an ergometer (Wattbike, Nottingham UK) 246 undertaken for 5 minutes at 60% max resting heart rate. Following this either the intervention or 247 control was administered. For the control trials, participants lay prone on a plinth for 10 minutes, the approximate time the intervention took to be applied. After the intervention or control, participants 248 249 then performed five repetitions of the NHE, as per the initial weeks training protocol in both Mjolsnes et al.<sup>59</sup> and Van der Horst et al.<sup>19</sup> studies. Each repetition was separated by a one-minute rest period. 250 251 A cool down was offered to all participants on the cycle ergometer for 10 minutes at a self-desired 252 pace.

253

#### 254 Statistical Analysis:

A replicated cross-over (two intervention and two control conditions) increases statistical power for detection of mean treatment effects over a conventional 2-level crossover study and, crucially, enables the exploration of the participant x treatment interaction term required for robust judgements regarding individual differences in treatment response.<sup>42</sup> The analysis approach was

designed to quantify both mean treatment effects and explore the presence of any inter-individual

260 differences in treatment effect and comprised three components as described by Goltz et al.<sup>45</sup>

261

262 Our sample size of 24 participants was dictated by the obligations of the rather time-consuming four-263 trial protocol, rather than statistical power. Nevertheless, based on our sample size, and knowledge 264 about the reliability of our primary outcome, we can estimate statistical power and/or minimal detectable target effect size. In terms of the detection of a mean target treatment effect, and using 265 266 GPower 3.1, we estimated that a difference between intervention and control conditions 267 (standardised to the between-subjects SD) of 0.27 would be detected as statistically significant 268 (P<0.05) with 80% statistical power, assuming a correlation coefficient between trials of 0.9 (obtained 269 from our prior pilot testing/reliability work). We also highlight the fact that the replicated nature of 270 our study design (both conditions undertaken twice) would be likely to further increase statistical 271 power.

272

273 It is difficult to estimate statistical power in the context of treatment response heterogeneity because 274 the within-subjects variability that is of interest in this context is unknown before the replicated crossover study is completed.<sup>60</sup> In addition, "post hoc" statistical power estimations (based on the 275 observed effect size rather than a target effect size) are not appropriate.<sup>61</sup> One approach to 276 277 quantifying the degree of "true" inter-individual variability in response is to calculate the correlation coefficient between the two replicates of intervention/control (see below).<sup>42</sup> It can be estimated that 278 279 a sample size of 24 would enable a "moderate" target correlation of 0.4 to be detected as statistically 280 significant. The confidence interval of a target correlation coefficient of 0.4 would be 0.00 to 0.69.

281

The associations between the first and second replicates of the control-adjusted treatment effect were quantified using Pearson's product-moment correlation coefficients.<sup>42</sup> The first intervention session in any participant's sequence was paired to the first control condition in the same individual's

285 sequence. Differences in response that are stable within participants would manifest themselves as a 286 high correlation between first and second pairs of replicates. An overall "naïve" estimate of the true (control condition-adjusted) between-subject differences in treatment response were calculated as 287 follows  $(SDIR = \sqrt{(SDi^2 - SDc^2)})$ ,<sup>40</sup> The standard deviation of individual responses (SDIR) 288 289 represents the true inter-individual variation in treatment effect. Standard deviations of the pre-post change were calculated for the intervention conditions (SDi) and control conditions (SDc). Each of 290 291 these two SDs was calculated using the relevant equation for pooling SDs because there were 2 sets of data to pool in each condition.<sup>62</sup> A positive SDIR indicates greater treatment response heterogeneity 292 relative to the random trial-to-trial variability. Finally, a within-participant linear mixed model 293 guantified any participant-by condition interaction for each outcome measure.<sup>63</sup> Condition and their 294 295 interaction effects were modelled as fixed effects, and participant and participant-by-condition terms 296 were modelled as random effects. Standard residual diagnostics were undertaken according to methods reported in Goltz et al.<sup>45</sup> 297

298

299 Mean differences between intervention and control were expressed as raw and standardised mean 300 differences with their uncertainty expressed as 95% CIs with exact P values. In the absence of a precise 301 clinical anchor for an important difference in our NHE related outcomes (in their units of measurement), we compared the standardized ESs to conventional thresholds.<sup>64</sup> These thresholds are 302 303 context-dependent and we recognize that there have been recent calls for some standardized differences to be as high as 0.5 to be considered clinically relevant.<sup>64</sup> An ES of 0.2 denoted the 304 305 minimum important mean difference for all outcomes, with an ES of 0.5 being moderate and an ES of 0.8 being large.<sup>65</sup> To calculate the minimal clinically important difference (MCID) for individual 306 responses, the threshold of 0.2 for interpreting standardized mean changes was used.<sup>65,66</sup> We 307 recognise that such an interpretation is more of a "fall-back" approach when robust thresholds for 308 clinical/practical importance have yet to be formulated using hard outcomes of morbidity and 309 mortality, or via agreement amongst clinicians.<sup>64</sup> 310

311

#### 312 **RESULTS**

All 24 participants were randomly assigned, received the intended conditions and were analysed for 313 314 the outcomes. No unintended adverse effects were reported from any participants and there was no 315 loss to follow-up. The mean and standard deviation for each measurement and the raw mean effects 316 of the intervention versus the control condition are presented in Table 1 and the standardised effects 317 are visualised with their confidence intervals in Figure 2. Small increases were observed in the 318 intervention (vs control) in mean peak force for left (18, 95% confidence interval 4.6 to 33 N, p=.011) 319 and right sides (22, 3.4 to 41 N, p=.020) and mean peak torque left (0.14, 0.06 to 0.22 kg, p=.002) and 320 right (0.14, 0.05 to 0.23 kg, p=.005). A small decrease in the angle at DWF on the participants' 321 dominant right side where the mobilisations were performed, was observed (-4.1, -7.6 to -0.5 degrees, 322 p=.027). Further moderate increases in peak EMG activity were also observed on the right limb (17, 323 7.1 to 26 mV, p=.002) and EMG at the angle of DWF (8.8, 1.5 to 16 degrees, p=.021) with mobilisations. 324 Increases in peak EMG on the left limb were also moderate but the estimate was less precise (0.71, -325 1.1 to 30 mV, p=.067). Similarly, small decreases were observed in angle at DWA on the left limb (-326 3.6, -7.3 to 0.1 degrees, p=.055) but the uncertainty in these estimates were large.

327

Table 1. Means and SDs of the pre-to-post change scores for the mobilization and control (nointervention) conditions

330 \*\*\*INSERT TABLE 1 ABOUT HERE\*\*\*

331

Figure 2. Standardised changes in the mean with uncertainty expressed as 95% confidence intervals
 \*\*\*INSERT FIGURE 2 ABOUT HERE\*\*\*

334

The results of the three approaches for quantifying inter-individual differences in intervention response are presented in Table 2. Generally, there was good agreement between the approaches,

337 whereby a large correlation between crossover replicates was associated with relatively large values 338 for the SDir. Intervention response heterogeneity was most apparent for force right – there was a 339 statistically significant participant by intervention interaction (p=.04) and the SDir was substantially 340 larger than the mean treatment effect for this variable (Table 1). No other statistically significant 341 participant by intervention interaction terms were detected, and SDir were generally smaller than the 342 respective mean intervention effect for each of the other variables. The rather small and not statistically significant correlations between crossover replicates are also presented in the scatterplots 343 344 of Figure 2. It can be seen that individual differences in response were highly variable between the 345 pairs of intervention and control trials. This indicates an absence of any endogenous intervention 346 heterogeneity over and above the random trial-to-trial within-subjects variability that is present.

347

Table 2 – True inter-individual differences between the mobilizations and control (no intervention)
 conditions

350

351 \*\*\*INSERT TABLE 2 ABOUT HERE\*\*\*

352

Figure 3 – Inter-individual differences between mobilizations and control (non-intervention) for all
 replicated measures

355 \*\*\*INSERT FIGURE 3 ABOUT HERE\*\*\*

356

#### 357 DISCUSSION

The primary findings of this study in healthy recreationally active males were; (1) the application of UPA mobilizations resulted in an increase between conditions for hamstring peak force (bilaterally), peak torque (bilaterally), and a decreased angle at DWF on the right (side of UPA application), (2) an increase of peak EMG activity was observed in the right hamstring as was EMG activity at DWF, (3) no differences were detected between conditions for the angle at DWA (4) inter-individual responses were found for force production of the right hamstring with negligible response heterogeneity for all other outcomes. No previous researcher has attempted to assess the effect of UPA lumbar z-joint mobilizations on the peak force, peak torque and failure point of the hamstring during an NHE. As such, our study provides novel data to suggest that UPA lumbar z-joint mobilizations increases force production and peak torque bilaterally to the hamstring complex and might improve participant's angle at failure on the applied side during downward phase of NHE.

369

This is the first study in this field to explore the participant by treatment interaction (for quantification of individual response heterogeneity), alongside mean condition differences. A strength of our study is the replicated cross over design and the statistical approaches employed, which have been advocated to explore inter-individual variability in responses to an intervention.<sup>40,42</sup>

374

375 HSIs continue to be problematic, despite significant emphasis on preventive measures. HSI prevalence 376 rates have reduced significantly in athletes who adopted a NHE program within their regular training with a particularly preventive effect in reducing recurrent injuries. <sup>17,19,20,67</sup> The value of treating the 377 hamstring region proximally via the lumbar spine has previously been advocated,<sup>29,30,68</sup> with lumbar 378 379 spine mobilizations shown to increase hamstring extensibility and potentially reduce Biceps Femoris EMG activity during AKE and lumbar flexion.<sup>32,33</sup> The aim of this study was to investigate how UPA 380 381 lumbar z-joint mobilizations effect the peak force, EMG activity and failure point of the hamstring 382 during an NHE.

383

Our study is the first to provide evidence to clinicians that UPA mobilizations can acutely influence force production during a functional eccentric strength exercise. Both increasing hamstring force production, and overall strength over time has been suggested to decrease the incidence of HSI's.<sup>24</sup> The increases in force and torque production bilaterally may be related to increased spinal motorneuron excitability, increased neural motor-drive and thus increased rate of force development.<sup>69</sup> The application of UPA mobilizations pre NHE may facilitate these central processes to produce the desired

390 increases in force output throughout the eccentric exercise. This has implications for prevention and management of HSI through increasing eccentric strength which is known to reduce injury risk.<sup>24</sup> The 391 392 individual variability investigated in all the studied outcomes did not indicate any large response 393 heterogeneity, except for peak force of the right leg (table 2). Nevertheless, we cannot rule out 394 clinically relevant response heterogeneity in all the other study outcomes because our study was not 395 specifically powered to quantify response heterogeneity. Our primary hypotheses were relevant to 396 the mean treatment effect, while we explored the secondary objective of individual heterogeneity in 397 treatment effect.

398

399 No accepted measure of finding the failure point of the NHE exists. The angle of DWA was obtained as per Delahunt et al.<sup>38</sup> In addition, we identified the first point of initial downward inflection as the 400 401 angle of DWF (see methods section). Interestingly, we found that the application of UPA mobilizations 402 did not influence the failure point of the NHE as measured by the angle at DWA, with increased 403 confidence intervals which crossed zero, but this was increased for the angle of DWF of the applied 404 side. Previous research has reported the ability of lumbar mobilizations and specifically UPA's to increase the extensibility in the short- term with effects lasting for approximately 15 to 20 minutes.<sup>34</sup> 405 406 Potentially, the increase in extensibility, and decreased passive stiffness may have been beneficial to 407 a NHE when the hamstring is stressed through an eccentric lengthened state. However, we cannot 408 conclude with certainty if UPA enable the hamstring's failure point to be increased during the NHE. 409 Further work is required to validate the calculation for measuring the failure point of the exercise. 410 Increased noise within the data was observed for the angle of DWA bilaterally, and therefore the reliability of measures of DWA and DWF are required. The variation in the data might be due to a 411 412 combination in estimation of angular displacement from 3D motion capture, and noise compounding 413 the data when we differentiated from angular displacement to velocity and acceleration. Therefore, 414 we do not provide definitive evidence for the ability of UPA to increase the failure point.

415

416 We observed moderate increases in EMG at DWF on the right side where the mobilisations were administered, but not on the left. Additionally, peak EMG was clearly increased in the right limb but 417 418 not the left. Side specific changes following L4/5 mobilization have been reported by Perry and Green,<sup>57</sup> with a greater response on the side of application. Whilst Perry and Green,<sup>57</sup> didn't use EMG 419 as an outcome measure, our EMG at DWF data provide some support for their conclusions that 420 421 neurophysiological and anatomical inter-relationships in the lumbar spine do exist and can be 422 influenced through manual mobilizations. However, we would caution over interpretation of these 423 data particularly considering the width of the confidence intervals for EMG data (Figure 2). Indeed, a 424 similar moderate improvement in peak EMG was observed for the left limb but the wider CI denotes 425 less certainty in the statistical estimation of "true" effect size.

426

Increased muscle activity can be related to amplified force production.<sup>69-72</sup> Interestingly, Hegyi et al,<sup>73</sup> 427 reported the BF<sub>LH</sub> produced the lowest level of muscle activity during an NHE and is associated with 428 higher strain close to the proximal muscle-tendon junction.<sup>74</sup> Opar et al.<sup>75</sup> reported that recreational 429 430 athletes with a previous history of HSI have both decrease biceps femoris muscle activation and 431 eccentric hamstring strength during maximal voluntary contractions. Similar findings have been 432 reported in athletes with a history of HSI during the late swing phase of high velocity running gait.<sup>76</sup> 433 Previously, it has been reported that the activity of the hamstring complex remains elevated during the terminal segment of the NHE.<sup>77</sup> We report that the application of UPA mobilizations increased this 434 435 peak muscle activity in the immediate term. Longer-term studies including Delahunt and colleagues 436 following a six-week Nordic hamstring program reported a significant increase in EMG activity of both semi-tendinous and biceps femoris during the eccentric exercise.<sup>38</sup> This increase is likely due to the 437 neural adaptations of exercise programs.<sup>78</sup> To achieve such electromyographic changes in Delahunt et 438 al's.<sup>38</sup> study a total of 340 repetitions of the NHE were performed and produced similar results to 439 studies assessing activity changes in the quadricep muscle group.<sup>79,80</sup> These longer-term changes are 440 proposed to result from preferential recruitment of type II muscle fibers.<sup>81</sup> The significantly higher 441

442 EMG activity of the hamstrings in the later segments of the NHE may be explained by a greater 443 recruitment of available motor units to generate sufficient torque to control the fall of the torso which 444 is compensating for the reduced mechanical advantage a lengthened position.<sup>77</sup> These adaptations 445 would not have occurred in the small dose each participant in our study was exposed to. Whilst we 446 did not attempt to evaluate how these changes occurred in our study it is likely that increased EMG 447 activity is related to increased central motor output to the hamstrings to maintain the fixed task requirements.<sup>82</sup> From the observed data in our study the application of UPA mobilizations may provide 448 449 an important strength stimulus by increasing muscle activity.

450

#### 451 *Limitations and future research*

452

453 It is important to acknowledge some limitations with our study when interpreting the results. Our 454 study used a healthy population and thus the effect of UPA lumbar z-joint mobilizations on peak force, 455 torque, EMG activity and failure point in athletes with HSI is currently unknown and requires 456 investigation. Currently a minimally important clinical difference for force production or muscle 457 activity during the NHE is unknown. Therefore, we cannot be certain that the increases reported 458 within our study would be clinically meaningful. The Hamstring solo directly calculates force and 459 estimates peak torque. Readers should be aware that this estimation is based on several assumptions 460 including segment mass and caution should be applied when interpreting results. Finally, the effect of 461 skin movement artefact on joint motion when using a marker set up has been established and could have led to measurement error of the failure point of the NHE.<sup>83,84</sup> 462

463

### 464 Conclusion

465 Our results help to inform practitioners of the variations observed from the administration of UPA
466 lumbar mobilizations to the hamstring complex during the NHE. Following UPA application to the L4/5
467 facet joint immediate changes in bilateral hamstring force production and peak torque occurred

468 during the NHE. The failure point as measured by angle at DWF was decreased for the mobilization 469 side but this was not replicated when measured via DWA. Further work is required to ascertain gold 470 standard calculation of the failure point. Peak EMG muscle activity of the hamstring complex was 471 observed together with increased activity during the DWA on the ipsilateral side of mobilization 472 application. Only force production of the dominant leg resulted in inter-individual differences and 473 larger samples are required to investigate this further.

- 474
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- 477

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	Mean ± standard deviation measurement				Raw mean difference (95%CI)	
Variable	Intervention 1	Intervention 2	Control 1	Control 2	(Pooled over replicates)	P-value
					Intervention minus control	
Force Left (N)	297 ± 102	303 ± 85	271 ± 90	292 ± 94	18.7 (4.6 to 32.8)	.011
Force Right (N)	320 ± 81	336 ± 70	287 ± 66	321 ± 66	22.0 (3.4 to 40.6)	.020
Peak Torque Left (Nm/Kg)	1.52 ± 0.45	1.50 ± 0.35	1.32 ± 0.38	1.42 ± 0.36	0.14 (0.06 to 0.22)	.002
Peak Torque Right (Nm/Kg)	1.64 ± 0.39	1.66 ± 0.28	1.45 ± 0.32	1.58 ± 0.26	0.14 (0.05 to 0.23)	.005
Angle DWF Left (°)	66.4 ± 13.1	62.4 ± 12.9	72.3 ± 12.2	66.6 ± 13.6	-2.5 (-10.7 to 5.7)	.537
Angle DWF Right (°)	67.9 ± 13.9	67.8 ± 15	72 ± 15	71.8 ± 12.1	-4.1 (-7.6 to -0.5)	.027
Angle DWA Left (°)	51.1 ± 9.4	48.2 ± 9.7	55.6 ± 12.4	50.1 ± 11.1	-3.6 (-7.3 to 0.1)	.055
Angle DWA Right (°)	50 ± 9.5	52.3 ± 8	53.3 ± 11.7	53.2 ± 9.5	0.3 (-8.9 to 9.4)	.950
EMG at DWF Left (mV)	45.77 ± 16.75	39.42 ± 17.70	39.21 ± 15.25	39.87 ± 16.18	-0.41 (-8.6 to 7.8)	.916
EMG at DWF right (mV)	51.80 ± 20.26	41.37 ± 16.14	37.11 ± 12.41	36.75 ± 15.04	8.8 (1.5 to 16.1)	.021
Peak EMG Left (mV)	67.97 ± 20.46	63.25 ± 22.05	53.44 ± 16.56	59.58 ± 22.37	13.9 (-1.1 to 28.9)	.067
Peak EMG Right (mV)	66.85 ± 21.84	64.83 ± 23.59	51.64 ± 21.20	51.02 ± 19.55	16.8 (7.1 to 26.4)	.002

**Table 1.** Means and SDs of the pre-to-post change scores for the mobilization and control (no intervention) conditions

Variable	Differences between conditions (replicate 1) Mean +SD	Differences between conditions (replicate 2) Mean +SD	Correlation between replicates (R, CI)	SDiR Estimate 1	SDiR, Estimate 2	P-value
Force Left (N)	25.88 (36.61)	11.54 (40.16)	0.38 (-0.02 to 0.68)	16.33	15.41 (-22.36 to 31.22)	.530
Force Right (N)	33.61 (48.01)	14.96 (37.76)	0.59 (0.24 to 0.80)	33.16	34.48 (5.65 to 48.44)	.044
Peak Torque Left (Nm/Kg)	0.20 (0.31)	0.08 (0.21)	0.08 (-0.33 to 0.47)	0.15	0.10 (-0.12 to 0.19)	.441
Peak Torque Right (Nm/Kg)	0.19 (0.33)	0.08 (0.23)	0.05 (-0.36 to 0.45)	0.16	0.35 (-0.14 to 0.21)	.448
Angle DWF Left (°)	-5.95 (15.40)	-4.20 (14.27)	0.13 (-0.29 to 0.50)	-1.69	6.88 (-8.96 to 13.23)	.730
Angle DWF Right (°)	-4.19 (8.63)	-3.94 (14.75)	0.10 (-0.48 to 0.32)	4.95	0.37 (-5.11 to 5.13)	.992
Angle DWA Left (°)	-4.49 (13.84)	-1.96 (11.31)	0.05 (-0.36 to 0.45)	-7.15	-2.8 (-6.09 to 4.62)	.599
Angle DWA Right (°)	-3.28 (8.34)	-0.93 (9.26)	0.34 (-0.08 to 0.65)	-5.94	10.37 (-6.24 to 15.95)	.150
EMG at DWF left (mV)	6.56 (21.52)	-0.45 (19.46)	0.22 (-0.02 to 0.57)	7.68	-4.89 (-12.13 to 9.95)	.702
EMG at DWF right (mV)	14.69 (19.23)	4.62 (19.47)	0.02 (-0.42 to 0.39)	13.04	-11.19 (-16.47 to 4.56)	.093
Peak EMG Left (mV)	14.53 (26.60)	3.67 (29.74)	0.42 (-0.09 to 0.76)	7.73	11.34 (-16.93 to 23.33)	.544
Peak EMG Right (mV)	15.21 (28.86)	13.82 (27.97)	0.05 (-0.45 to 0.54)	9.98	-16.48 (-24.24 to 6.64)	.092

**Table 2 –** True inter-individual differences between the mobilizations and control (no intervention) conditions