

Abdelkader, D H, Osman, M. A., El-Gizawy, S. A., Hawthorne, S. J., Faheem, Ahmed and McCarron, P. A. (2018) Effect of poly(ethylene glycol) on insulin stability and cutaneous cell proliferation in vitro following cytoplasmic delivery of insulin-loaded nanoparticulate carriers – A potential topical wound management approach. European Journal of Pharmaceutical Sciences, 114. pp. 372-384. ISSN 0928-0987

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1	Effect of poly(ethylene glycol) on insulin stability and cutaneous cell					
2	proliferation in vitro following cytoplasmic delivery of insulin-loaded					
3	nanoparticulate carriers – a potential topical wound management approach					
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#### 33 Abstract

34 We describe the development of a nanoparticulate system, with variation of poly(ethylene 35 glycol) (PEG) content, capable of releasing therapeutic levels of bioactive insulin for extended 36 periods of time. Recombinant human insulin was encapsulated in poly(D,L-lactide-co-glycolide) 37 nanoparticles, manufactured with variation in poly(ethylene glycol) content, and shown to be 38 stable for 6 days using SDS-PAGE, western blot and MALDI MS. To determine if insulin 39 released from this sustained release matrix could stimulate migration of cell types normally 40 active in dermal repair, a model wound was simulated by scratching confluent cultures of human 41 keratinocytes (HaCaT) and fibroblast (Hs27). An important finding of this work was that closure 42 of the scratch fissures was significantly faster in the presence of nano-encapsulated insulin when 43 compared to the free form, with a more pronounced effect observed in HaCaT cells when 44 compared to Hs27 cells. Variation in PEG content had the greatest effect on NP size, with a 45 lesser influence on scratch closure times. Our work supports a particulate uptake mechanism that provides for intracellular insulin delivery, leading to enhanced cell proliferation. When 46 47 placed into an appropriate topical delivery vehicle, such as hydrogel, the extended and sustained 48 topical administration of active insulin delivered from a nanoparticulate vehicle shows promise 49 in promoting tissue healing.

50

### 51 Keywords

Sustained topical delivery; insulin-loaded PLGA NP; poly(ethylene glycol); wound scratch
closure; keratinocyte; fibroblasts

# 55 1. Introduction

56 Insulin, a peptide hormone with multiple physiological roles, restores integrity of damaged skin. 57 It is of interest in the field of wound repair, due particularly to low cost relative to other peptide-58 based growth factors. Its beneficial effects first became apparent after discernible differences 59 were recorded in the rate of postoperative wound healing between diabetic and non-diabetic 60 patients [1]. In the former group, wounds were less likely to re-epithelialise normally, making 61 them susceptible to infection. Such findings prompted the development and evaluation of the 62 therapeutic benefits of insulin when incorporated into wound dressings, bioadhesive films and 63 hydrogels [1]. Recalcitrant, non-healing wounds remain a major healthcare challenge that 64 plagues patients with chronic illness. Notwithstanding systemic insulin therapy and a carefully 65 regulated life style, approximately 15% of all diabetic patients will have some form of non-66 healing wound and be susceptible to amputation of the lower extremities [2].

67 Direct administration of insulin to the wound surface is known to be clinically effective, especially when the rate of closure is considered. Results confirm that insulin stimulates 68 69 keratinocyte migration in a dose and time dependent manner, acting in an insulin-receptor-70 dependent, but EGF/EGF-R-independent, manner [3]. Conversely, the ability to stimulate both 71 the insulin and IGF-1 receptors may broaden the applicability of insulin in different wound 72 types, particularly when one receptor may be dysfunctional (e.g. in Type II diabetes). 73 Consequently, it has been shown that topically applied insulin increases wound tensile strength 74 and accelerates healing in Wistar rats [4]. Similarly, topical administration to linear 75 musculoperitoneal wounds in the murine model leads to faster wound healing, with histological 76 examination demonstrating earlier appearance of collagen fibres with denser and well-oriented 77 morphology [5]. Understanding the process by which insulin accelerates the wound closure is important because it will provide insight into many potential applications directed to the healingprocess [6].

80 Several insulin-loaded formulation types have been developed and evaluated. For example, 81 Lima et al. [7] investigated the effect of a topical cream, containing insulin, showing that it 82 decreases wound healing time and induces a rescue in the levels of tissue proteins involved in the 83 early steps of insulin action. Topical application of this patented insulin-containing cream was 84 shown to normalise the wound healing time in diabetic animals. In a similar study, Achar et al. 85 [8] showed that topical use of a cream containing insulin-like growth factor (IGF-1) improves 86 wound healing in both diabetic and non-diabetic animals, with increased expression of 87 In addition, an insulin-containing, spray-based formulation has been used fibroblasts. 88 successfully to treat patients with diabetic ulcers [9]. These approaches, which deliver insulin in 89 the free form, benefit from the location of the insulin receptor, which contains four sub-units and 90 is located on the plasma membrane. This free insulin rapidly mediates the short-term effects on 91 membrane function, such as the uptake of glucose, and the full biological effects are brought 92 about when less than 10% of the total cell surface insulin receptors are occupied [10].

93 Recently, topical formulations have utilised nano-sized carriers as novel drug delivery 94 vehicles, such as polymeric nanoparticles (NP), liposomes and nano-emulsions, to enhance 95 cutaneous delivery of pharmaceutically active materials, such as topically applied peptides [11]. 96 Colloidal vehicles sustain release, protect peptides and proteins from chemical and physical 97 degradation, and provide targeting opportunities for cell-directed and tissue-specific targeting 98 using conjugating techniques [12]. They are made from a wide range of polymeric materials, but 99 poly(D,L-lactide-co-glycolide) (PLGA), being both biodegradable and biocompatible, has 100 enjoyed widespread interest and is capable of controlled release for several days [13]. It can be

made in nanoparticulate form and loaded with a range of molecular drug substances and is 101 102 amenable to the usual means of enhanced cutaneous delivery, such as iontophoresis [14]. 103 Importantly, these nanoparticulate carriers can be endocytosed by cells and delivery of an 104 encapsulated payload directly into the cytosol becomes feasible. Although insulin receptors 105 reside on the plasma membrane, studies demonstrate their presence on intracellular organelles, 106 such as the Golgi apparatus, endoplasmic reticulum and the nucleus [15]. As free insulin does 107 not readily cross the plasma membrane, the use of endocytosed insulin-loaded carriers could 108 provide a novel means to bring about binding to intracellular insulin receptors.

109 In this present study, we compared the effect of insulin on keratinocyte and fibroblast 110 populations delivered by either intracellular nanoparticulate delivery or via the free form. The 111 aim of the former approach was to investigate proliferative effects following activation of 112 intracellular insulin receptors, whilst the latter approach would consider activation of membrane-113 bound receptors. Human recombinant insulin was loaded into PLGA NP using a modified, 114 double-emulsion, solvent technique. Importantly, the effect of poly(ethylene glycol) content, 115 which is known to affect both colloidal stability [16] and cellular uptake of colloidal carriers [17] 116 was investigated. Nanoparticles were characterised according to encapsulation efficiency, 117 surface morphology, particle size, polydispersity index (PDI), zeta potential and *in vitro* release 118 profile. Insulin integrity and stability were assessed in vitro using SDS-PAGE, western blot and 119 MALDI mass spectrometry. In vitro studies were performed on kerotinocyte and fibroblast cell 120 lines in order to assess insulin-mediated cellular migration in the context of wound repair. A 121 proposed mechanism of nanoparticulate uptake facilitated by endocytosis was investigated using 122 inhibition of vesicle formation following exposure to dynasore hydrate.

#### 124 **2. Materials and Methods**

### 125 2.1 Materials

126 Insulin, recombinant human, dry powder and poly(D,L-lactide-co-glycolide, acid terminated, 127 lactide:glycolide 50:50, MW 24,000-38,000) were purchased from Sigma Aldrich, UK. 128 Dimethylsulfoxide (DMSO), hydrochloric acid (HCl), acetic acid, trifluroacetic acid (TFA), 129 trypan blue and crystal violet solutions were purchased from Fluka, Sigma Aldrich, UK. 130 Poly(vinyl alcohol) (PVA, MW=31,000-50,000, 87-89% hydrolysed), poly(ethylene glycol) 131 flakes (PEG, Mw 2000 Da and 5000 Da), sucrose powder and potassium chloride (KCl) were all 132 purchased from Sigma Aldrich, UK. Dulbeco's phosphate-buffered saline (DPBS) and sodium 133 hydroxide (NaOH) were purchased from Fisher Scientific, UK. A BCA Protein Assay Kit was 134 purchased from Thermo Fisher Scientific, Pierce Biotechnology Inc., USA. 3-(4,5-135 Dimethylthiazol-2-yl)-2,5-diphenyl-tetrazolium bromide) was purchased from Arcos, Organics, 136 New Jersey, USA.

Human keratinocytes (HaCaT) were provided by Cell Line Services, Eppelheim, Germany [18]. Human fibroblasts (Hs27) were supplied from ATCC, UK. Dulbecco's modified Eagle's medium (DMEM, 1X), fetal bovine serum (FBS), 0.5% trypsin-EDTA (10X) and penicillin streptomycin solution (Pen-Strep) were all purchased from Gibco<sup>®</sup> life technologies, UK. Dynasore hydrate was purchased from Sigma Aldrich, UK. Dichloromethane (DCM), trifluoroacetic acid (TFA), acetonitrile and methanol were of HPLC grade. All other reagents and solvents were of appropriate laboratory standard and used without further purification.

144

#### 145 2.2 PLGA NP preparation

146 Insulin-loaded NP were prepared using a double-emulsion, solvent evaporation technique, 147 adapted with minor modification [19]. Briefly, 0.1 ml of an aqueous insulin solution (5 mg, 148 dissolved in a mixture of 0.1 M HCL and PVA 2.5 % w/v, pH 1-2) was added drop-wise to an 149 organic phase (4.0 ml dichloromethane, DCM) comprising 100 mg of PLGA. This organic 150 phase contained variations in PEG content, both in concentration and molecular weight, as 151 defined by the Formula codes in Table 1. This primary emulsion was agitated in an ice bath for 120 s at 1000 rpm (Ultra-Turrax<sup>®</sup> T10 Basic Disperser, IKA<sup>®</sup> Works, VWR <sup>®</sup>International, UK) 152 153 before drop-wise addition to 50 ml of an external aqueous phase containing 1.25% w/v PVA 154 [20], with continuous stirring in an ice bath for 360 s at 10,000 rpm (model L5M-A Silverson 155 Ltd., UK). DCM was evaporated under magnetic stirring overnight. NP were collected by 156 centrifugation (3-30k, Sigma Laboratory Centrifuge Henderson Biomedical Ltd., Germany) at 157 11,000 x g for 30 minutes at 4 °C and washed with three sequential steps, 10 minutes for each, 158 using distilled water, 2% w/v sucrose solution [21] then distilled water. The pellet was frozen at 159 -20 °C for 4-6 hours and then lyophilised (4.5 Plus, Labconco Ltd., USA) for 48 hours.

160

# 161 2.3 Particle size and zeta potential measurements

Surface charge (zeta potential, mV) was determined by measuring electrophoretic mobility. Particulate size (diameter, nm) and polydispersity index were determined by photon correlation spectrometry (ZetaSizer Nano series, Malvern Instruments, Worcestershire, UK), using a He-Ne laser operating at 633 nm and a fixed scattering angle of 90°. Measurements were performed in triplicate at 25 °C for samples diluted in either distilled water or 1.0 mM KCl solution.

167

168 2.4 Chromatographic analysis

Aqueous concentrations of recombinant human insulin were determined using reversed phase HPLC (Shimadzu Corporation, Kyoto, Japan). Separation was performed on a Luna<sup>®</sup> C18 column (5 μm, 150×4.6 mm, Phenomenex, CA, USA). The mobile phase comprised a binary mixture of 0.1% trifluroacetic acid in water and 0.1% trifluroacetic acid in acetonitrile [22]. Gradient elution was applied by increasing acetonitrile concentration from 10% to 35% over a 15-minute period. Detection was at 210 nm with a flow rate of 1.1 ml per minute. Analysis was conducted at ambient temperature and peak area was used to quantify the analyte concentration.

176

# 177 2.5 Drug loading (DL) and entrapment efficiency (EE)

The amount of encapsulated insulin was determined by analysing protein content within the NP matrix (entrapped fraction), together with an analysis of the supernatant (non-entrapped protein fraction). The entrapped fraction was measured using a bicinchoninic acid assay following the digestion of lyophilised NP (15 mg) using 1.0 M NaOH for 2 hours and subsequent neutralisation with 1.0 M HCl [19]. Drug loading and direct EE (%) were calculated from Eq. (1) and (2), respectively [23].

184

185 
$$DL = \frac{Mass of drug in NP (mg)}{Mass of NP (mg)}$$
 (1)  
186  
187  
188 Direct EE (%) =  $\frac{Mass of drug in NP (mg)}{Mass of drug used (mg)} \times 100$  (2)  
189  
190  
191

Insulin concentration in the supernatant was determined using RP-HPLC, as described in Section
2.4. This indirect EE (%) was calculated using Eq. (3) [24].

195 Indirect %EE = 
$$\frac{\text{Total mass of drug used (mg)-mass of drug in supernatant (mg)}}{\text{Total mass of drug used (mg)}} \times 100$$
 (3)

- 196
- 197 2.6 In vitro release kinetics
- 198 Lyophilised, insulin-loaded NP (15 mg) were suspended in 1.0 ml phosphate-buffered saline
- solution (PBS pH 7.4). The samples were placed in a rotating mixer (Stuart Rotator Drive STR4,
- 200 Bibby Scientific Ltd., UK) at 100 rpm and incubated at 37 °C. Samples were withdrawn at
- 201 predetermined time intervals over 6 days and centrifuged at 5500 x g (Mini-Spin Eppendorf,
- 202 Davidson & Hardy Ltd., UK) for 5 minutes. The release medium was removed and 1.0 ml of
- 203 fresh medium added [25]. Each sample was analysed using RP-HPLC, as described in Section
- 204 2.4.
- 205
- 206 2.7 Morphological characterisation
- Lyophilised NP were vacuum-coated for 3 minutes with a mixture of gold and palladium and
  examined for morphology scanning electron microscopy at 20 kV (Zeiss, Oberkochen,
  Germany).
- 210
- 211 2.8 In vitro drug stability
- 212
- 213 Gel electrophoresis (SDS-PAGE) and western blotting
- Samples (10 μl) of insulin standard, insulin released from NP and a protein ladder (See Blue<sup>®</sup>
  Plus2 Pre-stained Protein Standard, Novex <sup>TM</sup> Thermo Fisher Scientific, UK) were applied to the
  wells of a NUPAGE<sup>®</sup> Bis-Tris 12 % gel (Invitrogen, Thermo Fisher Scientific, UK) using a
  mini-cell electrophoresis system (X-cell Surelock<sup>TM</sup>, Invitrogen, Thermo Fisher Scientific, UK).

218 Peptide samples were vortexed with 2 µl Laemmli sample buffer (60 mM Tris-Cl pH 6.8, 2% 219 SDS, 10% glycerol, 5%  $\beta$ -mercaptoethanol and 0.01% bromophenol blue) and heated at 100 °C 220 for 10 minutes. The inner chamber of the electrophoresis cell was filled with 200 ml of running buffer (NuPAGE<sup>®</sup> MES SDS Running Buffer, 20X, Invitrogen, Thermo Fisher Scientific, UK) 221 222 with the addition of 500 µl antioxidant (Invitrogen, Thermo Fisher Scientific, UK) to improve 223 band separation. The outer chamber was filled with 600 ml of the same running buffer. Samples 224 were run for approximately 90 minutes at 200 V (~100 mA) until they reached the bottom of the 225 gel. Coomassie blue dye was used to stain the gel for 2-3 hours, assisted by orbital shaking. A 226 destaining solution of methanol: acetic acid: water (5:4:1 v/v) was applied to the gel for 3-4 hours. Images of peptide bands were captured by high resolution photography (GelDoc-It <sup>TM</sup>, 227 228 UVP, Cambridge, UK).

229 Western blot analysis was carried out using a standard wet blotting procedure on a 0.45 µm pore size membrane with a BenchMark<sup>®</sup> Pre-Stained protein standard ladder (Novex TM 230 231 Thermo Fisher Scientific, UK). Electro-blotting was carried out for 1 hour at 200 V (~100 mA). 232 Once protein transfer was finished, the membrane was removed and stained with Ponceau S 233 solution (Sigma Aldrich, UK) for 3 minutes and then washed with distilled water, 0.1 M NaOH 234 and Tris-buffered saline (TBS) buffer for 3 minutes each. A solution of 5% w/v of BSA in TBS 235 buffer was added to nitrocellulose membrane with gentle shaking for 3 hours and then the 236 membrane was incubated at 4 °C overnight. The membrane was then washed twice with TBS 237 buffer, before the addition of the primary antibody (guinea pig anti-human insulin IgG, 1:1000 238 dilution in TBS) for 3 hours at room temperature on an orbital shaker and then the membrane 239 washed three times with TBS before the addition of the secondary antibody enzyme conjugate goat anti-guinea pig IgG alkaline phosphatase conjugate (1:30000 in TBS) for 3 hours at room 240

temperature with gentle shaking. Finally, the membrane was washed twice with TBS and
BCIP/NBT substrate solution added to the membrane to visualise protein bands.

243

#### 244 MALDI-TOF mass spectrometry

245 MALDI-TOF MS equipped with a 1-minute time-of-flight tube was used in this study (Voyager-246 DE Biospectrometer, PerSeptive Biosystems, Hertfordshire, UK). A 1.5 µl aliquot of insulin 247 standard solution (30 µl ml<sup>-1</sup>) in 0.01 M HCL and insulin extracted from NP (obtained after the 248 digestion with 200 µl DCM and extraction into 500 µl 0.01 M HCL) were pipetted onto a 249 predefined well of a 100-well stainless-steel plate and allowed to dry at ambient temperature. A 10 mg ml<sup>-1</sup> solution of  $\alpha$ -cyano-4-hydroxycinnamic acid (CHCA, Fluka, Sigma Aldrich, UK) 250 251 was prepared in acetonitrile/ultrapure water/trifluoroacetic acid (80:20:0.1%). A 1.5 µl aliquot 252 of CHCA matrix was added to the sample spot and allowed to dry at ambient temperature. All 253 measurements were collected in linear positive ionisation mode using 50 laser shots per 254 spectrum. The accelerating voltage was maintained at 20 kV. The mass/charge ratio (m/z) was 255 plotted against relative abundance.

256

# 257 2.9 Cell culture studies

HaCaT and Hs27 cells were cultured in complete DMEM media supplemented with 10% FBS and 1% Pen-Strep. Cells were incubated at 37 °C and maintained in an atmosphere of 5% CO<sub>2</sub>. When cells reached 80–90% confluency, the media was aspirated and cells were washed with 15 ml PBS. Cells were then detached with 3 ml of 0.5 % trypsin-EDTA solution and incubated for 5 minutes. Trypsin was neutralised with complete media, and cells centrifuged at 10 rpm for 5 minutes, then resuspended in 10 ml of fresh complete media. Cells were counted by removing 10 µl of suspension and combining it with an equal volume of trypan blue solution. This mixture
was vortexed, loaded into a haemocytometer chamber (Hawksley, UK) and counted visually
(Primovet, ZEISS Industrial Company, Germany).

267

268 Cell culture scratch assay

269 A standard *in vitro* technique for detecting cell migration in two dimensions was used in this 270 study. Known as a scratch assay or wound healing assay (WHA), it is based on formation of a 271 cell-free region in a confluent monolayer by physical exclusion or by creating a cell-free gap 272 through mechanical, thermal or chemical damage. HaCaT and Hs27 cell suspensions were 273 diluted to 250,000 cells per ml in complete media and seeded into 24-well plates (Thermo 274 Scientific, Korea) to a final well volume of 1.0 ml. Cells formed a confluent layer after 24 hours 275 and then a double cross scratch was made using a sterile pipette tip. All wells were then washed twice with 1.0 ml PBS to remove cellular debris. A solution of free insulin  $(10^{-7} \text{ M})$  [26] and 276 suspensions of insulin-loaded NP of variable PEG content containing  $10^{-7}$  M insulin (Table1) 277 278 were prepared in complete media (DMEM, 10% FBS and 1% Pen-Strep). Scratches were 279 photographed and measured using bright field inverted microscopy at different time intervals 280 depending on the rate of cell migration. The percent scratch closure at each time interval was 281 normalised to the scratch length at the zero-time point. Wells containing 1.0 ml of complete 282 media served as controls.

283

284 *Cell viability assay* 

The effect of human insulin-loaded NP on cell proliferation was evaluated using an MTT assay,
as described previously [27]. Briefly, cells (HaCaT or Hs27) were seeded in 96-well plates at an

initial density of 10000 cells per ml in complete DMEM medium. After 24 hours, the medium was replaced with 200  $\mu$ l of fresh medium containing insulin-loaded NP (equivalent to 10<sup>-7</sup> M insulin). Free insulin of equivalent concentrations was added as a control. The MTT assay was performed after predetermined time intervals of 12, 24 and 36 hours of incubation. Wells were photographed using bright field inverted microscopy. Cell viability was quantified by measuring absorbance at 590 nm (FLUOstar Omega, BMG LabTech, Germany) and compared to that of non-treated controls.

294

#### 295 Uptake studies using dynasore hydrate

296 Dynasore, a cell permeable inhibitor, acts as a potent and rapid blocker of dynamin-dependent 297 endocytic pathways by inhibiting coated vesicle formation [28]. To investigate the effect of 298 dynasore additon on cellular uptake of NP, a stock solution (16 mM) of dynasore hydrate 299 prepared in DMSO was diluted to 80 µM with DMEM. Cells (HaCaT or Hs27) were seeded in 300 96-well plates at an initial density of 10000 cells per ml in complete DMEM medium. After 24 301 hour of incubation, the medium was replaced with 100  $\mu$ l of dynasore working solution (80  $\mu$ M). 302 After 30 minutes, the dynasore solution was replaced with either a suspension of insulin-loaded NP (FII) or free insulin solution (both equivalent to  $10^{-7}$  M of insulin). The MTT assay was 303 304 performed after 12 hour of incubation. To investigate the effect of a long-acting mechanism 305 following prolonged dynasore exposure beyond the 30-minute interval, a second experiment was 306 performed. Wells containing a mixture of dynasore solution and NP suspension (FII) were kept 307 for 12 hours, while maintaining the concentration of dynasore and insulin at 80  $\mu$ M and 10<sup>-7</sup> M, 308 respectively.

310 *Cellular uptake imaging* 

311 Fluorescently-labelled, insulin-loaded NP (FII) were prepared using a modification of the 312 technique detailed in section 2.2. Coumarin-6 was added (0.05% w/v) to the organic polymer solution prior to emulsification [29]. Cells  $(1 \times 10^5 \text{ per well})$  were seeded on cover slips in a 6-313 314 well plate for 24 hours, then incubated with dynasore (80 µM) for 30 minutes to examine its 315 inhibitory effect on cellular uptake of NP and then fluorescently labelled NP were incubated with 316 cells for 24 hours. Cells not treated with dynasore were examined as a control. Cells were then 317 washed with PBS, fixed with 4% formaldehyde for 30 minutes and re-washed with PBS. DAPI  $(5-10 \ \mu g \ ml^{-1})$  was used to stain the nucleus. Cells were washed with PBS, suitably mounted and 318 319 visualised using fluorescence microscopy (Eclipse 80i, Nikon Ltd., Japan).

320

#### 321 2.10 Statistical analysis

322 Data are presented as the mean  $\pm$  standard deviation (SD). A Student's t-test and one-way 323 analysis of variance (ANOVA) were used to determine significance between groups. *Post hoc* 324 analysis using Tukey's HSD test was used to compare the means of individual groups. A value 325 of p<0.05 was considered to be significant.

#### 327 **3. Results and Discussion**

# 328 3.1. Particle size and zeta potential measurements

329 Creating a PEG-rich periphery on a NP serves many functions, besides the more customary 330 attempt to increase residence time in the systemic circulation [30]. PEG is associated to NP via 331 different methods that include covalent bonding, direct addition during NP preparation or surface 332 adsorption. In this study, the second approach was adopted, which gives rise to a particulate 333 surface that reduces opsonisation [31]. This protein adsorption can be minimised further by 334 altering the density and molecular weight of PEG, a variation that was used in this study (Table 335 1). The data in this table show that addition of lower molecular weight (2 kDa) PEG had a 336 significant effect (p < 0.05) on particle size, whereas the higher molecular weight (5 kDa) type 337 had a lesser effect. It has been shown that the addition of PEG modifies the association of 338 polymers during the formation of NP, which leads to a decrease in the resulting particle size, as 339 observed in this work [32].

Various methods have been described for characterising the extent of surface charge shielding provided by PEG on the surface of NP [30]. Here, we found that increasing PEG content (density and/or molecular weight) had no significant effect on zeta potential. This finding is explained by the choice of method used to add PEG into the nanoparticle matrix. In this work, PEG does not form a covalent part of the polymeric structure, which is in contrast to PEG in NP constructed from PEG-PLGA co-blocks, which do show evidence of attenuated surface charge.

347

348 *3.2. Drug loading (DL) and entrapment efficiency (EE)* 

Addition of PEG led to a significant increase in DL and direct EE (%) with a greater effect observed following use of the lower molecular weight PEG (2 kDa) (p<0.05). During the double-emulsion-based nano-encapsulation process, it is feasible that PEG chains assemble at the interface between the peptide-containing internal phase and the organic phase. This effect prevents peptide from migrating towards the external aqueous phase, which may explain the higher encapsulation efficiencies [33].

355 The choice of method used to measure entrapment efficiency had a bearing on the 356 estimate of entrapped drug. Determination of EE (%) using direct and indirect methods resulted 357 in higher values when the indirect EE method was compared to the direct EE (p<0.05) method. 358 The indirect method for estimating EE (%) depends on detecting drug concentration in the 359 supernatant and is, therefore, not a direct measure of particulate loading. Indeed, further 360 processing, such as washing and centrifugation, will remove loosely bound drug and so a 361 preliminary analysis of the supernatant immediately following NP formation may be an overestimation. Although significantly different, the data in Table 1 show reasonably good 362 363 agreement between both methods and so it can be concluded that the incorporated insulin is 364 firmly associated or entrapped within the NP and not loosely bound to its surface.

365

# 366 *3.3. In vitro release kinetics*

*In vitro* release profiles (Fig. 1) showed an initial burst release followed by a sustained release phase over 144 hours. There are key factors that affect the release profile of NP. Larger particles have a smaller initial burst release and longer sustained release than smaller particles. In addition, higher drug loadings typically produce a higher initial burst and a faster release rate [31]. The addition of PEG resulted in a significant increase in the initial release burst and the overall % cumulative release over 6 days (p<0.05) for FII, FIII and FIV compared to F1. FII exhibited the maximum % cumulative release of approximately 70% (w/w). Formulations containing PEG (FII-FIV) had greater drug loadings, which resulted in higher initial burst releases (Fig. 1). This initial finding is explained by rapid diffusion of peptide close to the surface of the NP, which was enhanced by the addition of PEG [30]. Furthermore, an increase in the porosity of the NP is expected, caused by the presence of PEG in the polymeric phase of the preparation emulsion [34].

379

# 380 3.4. Morphological characterisation

381 NP displayed a spherical geometry with smooth surfaces (Fig. 2). The effect of adding PEG, as 382 represented by FII, decreased particle size and tightened its distribution (Fig. 2C-D), compared 383 with FI that had no PEG in the primary emulsion (Fig. 2A-B). Samples that had undergone 48 384 hours of release were examined using microscopy to determine the residual appearance 385 following drug extraction (Fig. 2E-G). We found in this current work that the initial burst 386 release in the first 24 hours was attributed to diffusion of the drug bound to the surface of the NP 387 and the succeeding sustained release phase was due to gradual erosion of the polymer matrix 388 (Fig. 2E-G). This mechanism aligns to the theoretical mechanisms of drug release proposed by 389 Danhier et al., comprising a combined erosion-diffusion process [35].

390

391 *3.5. In vitro drug stability* 

Maintaining the stability of a model payload during the release phase from NP is a key requirement. Specifically, the risk of peptide degradation or aggregation during NP fabrication is a problem and should be monitored and characterised. High rates of shear produced during homogenisation of primary and secondary emulsion phases lead to three-dimensional alternation
in peptide structure [36]. Therefore, in this work, insulin stability was assessed using the
recombinant human insulin molecule (5.8 kDa) as an indicator of peptide integrity.

398 SDS-PAGE and western blot were used to compare the position of insulin bands obtained 399 from a (i) standard control, (ii) insulin released from NP and (iii) a placebo NP sample with no 400 insulin loading. Bands in Fig. 3 confirm that both the insulin standard and the insulin released 401 from loaded NP have an approximate molecular weight of 6.00 kDa. Another method used to 402 determine the stability of entrapped insulin was to compare its molecular weight, following 403 release, to that of an insulin standard. The mass spectra in Fig. 4A and 4B demonstrate close 404 agreement between peak values, confirming that entrapped insulin did not suffer aggregation or 405 degradation following NP processing or in vitro release.

406

# 407 *3.6. Cell culture of human skin cell line*

408 Scratch assays were performed using HaCaT and Hs27 cells to investigate the bioactivity of 409 insulin-loaded PLGA NP. Compared to other methods, the *in vitro* scratch assay is particularly 410 suitable for mimicking cell migration during *in vivo* wound healing and is compatible with 411 imaging of live cells during migration to monitor intracellular events [37]. Fronza *et al.* [38] and 412 Hrynyk *et al.* [26] used *in vitro* scratch assays in their work to measure cell migration across the 413 scratch as a viable method for quantification of wound closure.

The mean width of the applied scratch was 1.18 mm (average of six measurements between the four edges of the cross scratch), which started to close due to cell migration, stimulated by applied insulin (Fig. 5). The amount of insulin (free or encapsulated in NP) applied to the cell scratch at the beginning of the assay was equivalent to  $10^{-7}$  M for all conditions, with the exception of control media (complete DMEM) and placebo NP (0% insulin control), in which
case PLGA degradation products were assayed to determine if they had a migration response on
cells. Fig. 6 represents assays of different NP formulations, as displayed in Table 1, on HaCat
cells (Fig. 6A) and Hs27 cells (Fig. 6B).

422 Migration is considered a normal pattern of behaviour for HaCaT cells, but the differences 423 between cells exposed to insulin-loaded NP and those growing in the absence of insulin or 424 exposed to free insulin were significantly different. This is evident after 24 hours and 36 hours 425 (Fig. 6A). Cells exposed to insulin NP formulations (FII-FIV) formed a confluent monolayer at 426 24 hours. At 36 hours, the percentage scratch closure was 96.7% for cells exposed to FII in 427 comparison to 64.8% and 69.6% for cells exposed to DMEM and free insulin, respectively. 428 Similar results were found for Hs27 cells. At 48 hours, the percentage scratch closure was 429 87.9% for cells exposed to FII in comparison to 53.0% and 18.2% for cell migration data 430 following exposure to control DMEM and free insulin, respectively. Most of the wells exposed 431 to insulin-loaded NP formulations (FII-FIV) formed a confluent monolayer at 36 hours.

432 The short-term effect of all NP formulations on Hs27 was less pronounced than that observed 433 on the HaCaT cell line. For this reason, the total time for the scratch assay was extended to 48 434 hours so that effects on migration were more clearly seen (Fig. 6B). Free insulin had a negative 435 effect on migration when compared to control DMEM and placebo NP (p<0.01). As shown in 436 Fig. 6B, NP formulations with PEG content (FII-FIV) had a significant effect on cell migration 437 (p<0.05) compared to FI (no PEG content) or FV (NP with high density and high PEG molecular 438 weight). These results demonstrated that if cellular migration was dependent on effective insulin 439 delivery, then nano-encapsulation was a more efficient approach when compared to direct 440 exposure of the free drug. This suggested that particulate uptake was playing a role in the results

441 observed in this work. The addition of PEG to the NP formulations lends weight to this442 argument in that it can enhance cellular uptake due to a smaller resultant NP size [39].

443

444 3.6.2. *MTT cell assay (Cell viability)* 

445 In this work, the MTT assay was used to evaluate cell proliferation [40] and results demonstrated 446 the proliferative effect of insulin-loaded NP, which exhibited a more pronounced effect on 447 HaCaT cells (p<0.001) when compared to Hs27 cells (p<0.05). For HaCaT cells (Fig. 7A), 448 insulin-loaded NP extended the proliferative effect for 36 hours (Table 2(a)). For example, at 36 449 hours, FIII showed a % cell viability of 112.88 %, whereas free insulin and placebo NP showed 450 % cell viability of 99.80 % and 98.38%, respectively. The results were different for Hs27 cells, 451 as seen in Table 2(b). The maximum proliferation effect of insulin-loaded NP was observed at 452 12 hours, with no significant difference at 24 and 36 hours (Fig. 7B). For example, at the 12-453 hour time point, FIII showed % cell viability of 115.98%, whereas free insulin and placebo NP 454 showed % cell viability of 100.18% and 102.82%, respectively.

455

456 3.6.3. Effect of dynasore hydrate on cellular uptake of Insulin-NP

As demonstrated in Fig. 8A and 8B, the addition of dynasore hydrate led to a significant decrease in cell proliferation for HaCaT cells (p<0.02) and Hs27 (p<0.05). This was attributed to the inhibitory effect of dynasore, which blocks the endocytic pathways responsible for NP uptake. HaCaT cell proliferation data for free insulin, control DMEM and insulin-loaded NP after the addition of dynasore for 30 minutes showed that although dynasore exerts its inhibitory effect on NP uptake, we found that % cell proliferation of free insulin and insulin-loaded PLGA NP was significantly (p<0.03) higher than control DMEM, with no significant difference observed 464 between free insulin and insulin-loaded NP. These results support the argument that insulin-465 enhanced migration of HaCaT cells via NP translocation passively through the cell membrane 466 [41] in addition to active cellular uptake, which is blocked in the presence of dynasore. This is in 467 agreement with the work of many, such as Wang et al. [42] who propose that NP uptake is done 468 actively by cellular uptake machinery or by passive penetration. During endocytosis, NP are 469 enclosed by endocytic vesicles and are, thus, not directly transferred into the cytosol. By 470 contrast, NP internalised by membrane penetration enter the cytosol directly, which can be 471 preferable for targeted drug delivery. Therefore, the interaction of NP with the cell membrane 472 depends on the physical properties of NP and cell membrane structure [42].

473 Hs27 cell proliferation data, as seen in Fig. 8B, showed that addition of dynasore for 30 474 minutes led to inhibition of cell migration with no significant difference observed between free 475 insulin, insulin-loaded NP and the control (DMEM). These results mean that the migration 476 effect of insulin on Hs27 is largely dependent on an active endocytic pathway. The most 477 pronounced proliferation effect occurred after insulin-loaded NP application in the absence of 478 dynasore. Generally, incubation of HaCaT and Hs27 cells in the presence of dynasore for a 479 longer time duration (12 hours) led to significant increases in cell growth, as shown in Fig. 8A 480 and 8B. Dynasore is a newly identified inhibitor of dynamin GTPase activity, which arrests the 481 progression of endocytosis at coated-pit stages, inhibits internalisation of cell-surface-bound 482 TGF<sup>β</sup> and promotes co-localisation and accumulation of T<sup>β</sup>R-I and SARA at the plasma 483 membrane. Therefore, dynasore is considered to be a potent enhancer of TGF $\beta$ , which 484 stimulates cell growth and may explain the call viability patterns at prolonged time intervals 485 [43].

# 487 *3.6.4. Cellular uptake imaging (fluorescence microscopy)*

In this study, coumarin 6 was chosen as a fluorescence label in fluorescence imaging microscopy due to its low dye-loading requirement [44]. It is observed in Fig. 9A and 9C that the fluorescence of the coumarin-6 loaded NP (green) are closely located around the nuclei (blue stained by DAPI), which indicates that the NP have been taken up by the cells, after 24-hour incubation. Obviously, cells treated with dynasore have a lower cellular uptake efficiency as it is shown in Fig. 9B and 9D. This result further supports the contention that dynasore was blocking endocytic process responsible for NP uptake [28].

495

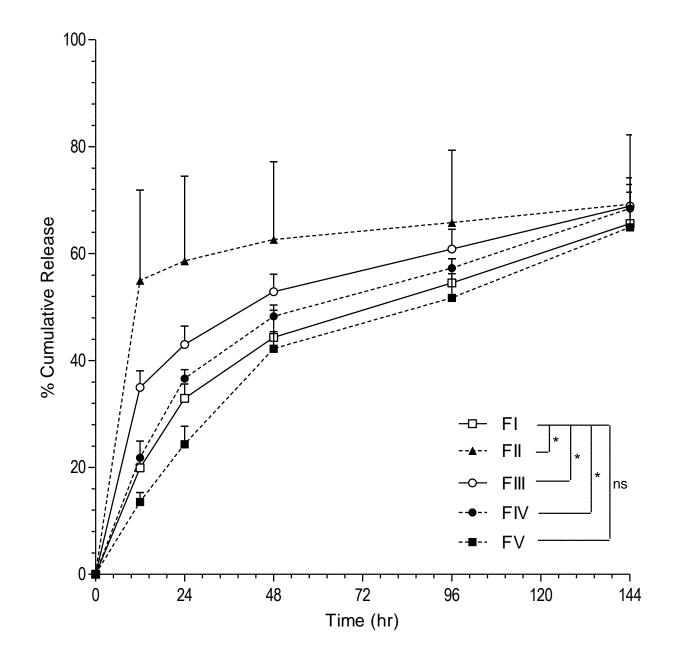
# 496 **4. Conclusion**

497 Recombinant human insulin was encapsulated into PLGA NP by a W/O/W solvent evaporation 498 technique with high efficiency and reproducibility. Release studies demonstrated that insulin 499 was delivered for 6 days in a sustained release manner. Furthermore, an *in vitro* scratch assay 500 established that insulin released from PLGA NP stimulated rapid cell migration following an 501 induced scratch. Furthermore, MTT assay results confirmed that insulin could enhance cell 502 proliferation, particularly if nano-encapsulated. Blockage of endocytic pathways verified that 503 particulate uptake was responsible for the enhanced cellular response that surpassed that 504 observed with exposure to free insulin. These data suggest that insulin encapsulated within 505 PLGA NP offers potential for long-term delivery of bioactive insulin for topical delivery devices 506 and could have significant clinical implications for the treatment to poorly responsive chronic 507 wounds.

508

### 509 Acknowledgment

- 510 The authors would like to acknowledge financial support from the cultural affairs and mission
- 511 sector, Egyptian government, Egypt.



515

Fig 1. In vitro drug release profiles from insulin-loaded PLGA NP (Formulation codes shown in Table 1). For clarity, data are shown as mean + SD (n=3). Single asterisks indicate statistical

significance (p < 0.05) between (FII-FIV) and FI, ns (non-significant difference) between FV

- and FI.

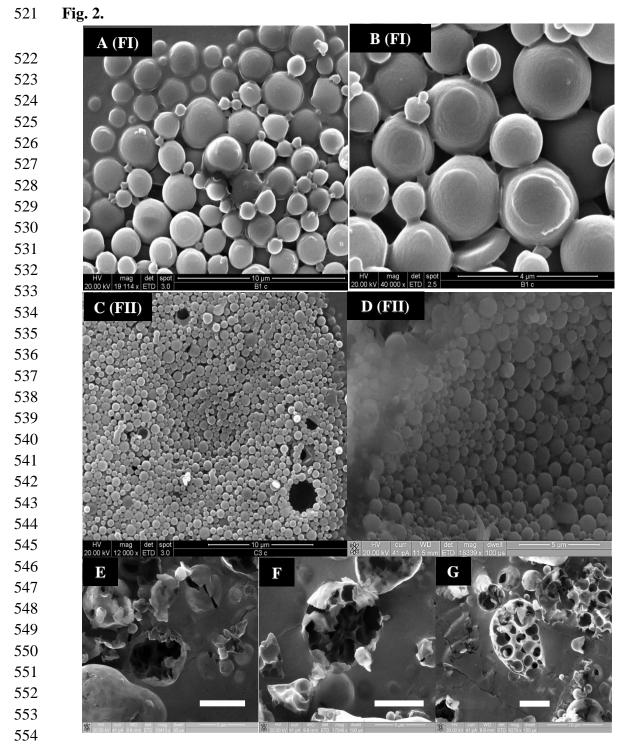


Fig 2. Scanning electron micrographs of insulin-loaded NP prepared with PEG (FII) and without
PEG (FI), observed under low magnification (A and C) and at higher magnification (B and D).
Images in E-G show polymeric structures (FII) with voids following exposure to release phase
media. Bars in E, F and G represent 5 μm.



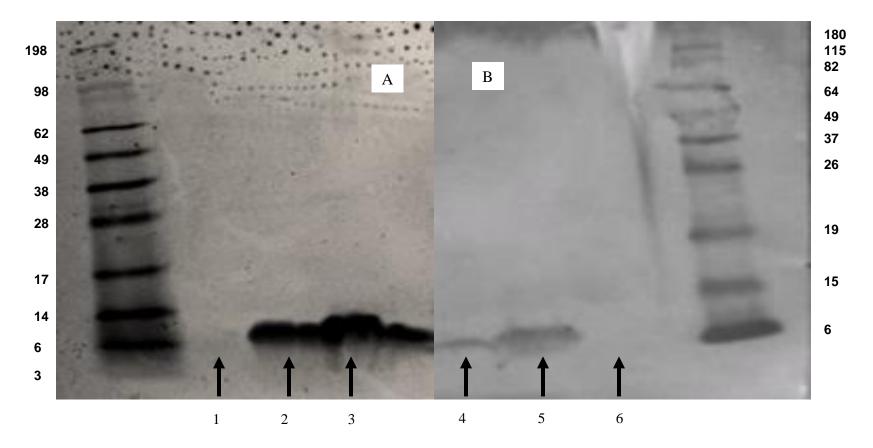
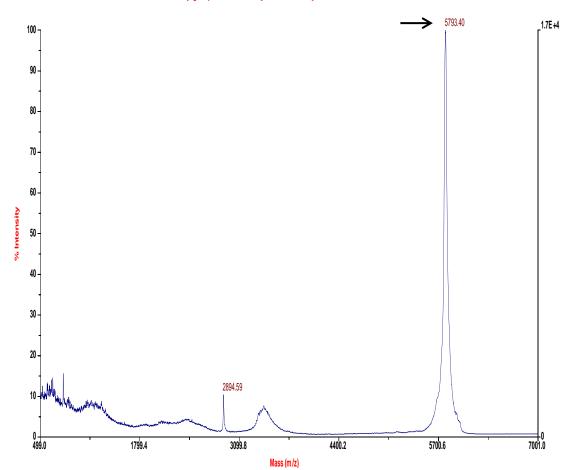


Fig. 3. *In vitro* stability of human insulin released from NP as assessed from (A) SDS-PAGE and (B) western blot. Ladders indicate molecular weight in kDa. Lane 1 - blank NP. Lane 2 - insulin released from NP. Lane 3 - control insulin. Lane 4 - insulin released from NP. Lane 5 - control insulin. Lane 6 - blank NP.

Fig. 4A.



Voyager Spec #1⇒BC⇒NF0.7[BP = 5795.9, 17239]

Fig. 4B.

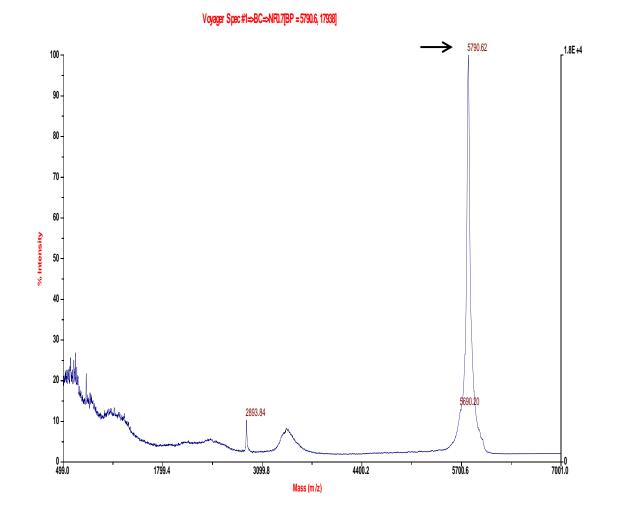


Fig 4. MALDI-TOF mass spectrum of (A) an insulin standard and (B) insulin released from NP.



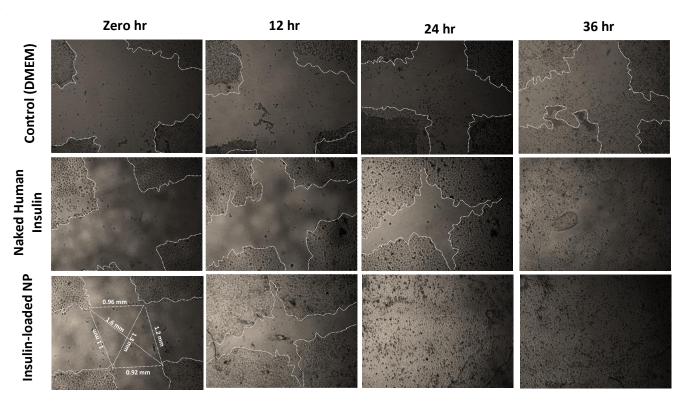


Fig 5A. Representative images of the HaCaT cell line showing the scratch closure process following treatment with DMEM control, free insulin and insulin-loaded NP (FII) at different time intervals of zero, 12, 24 and 36 hours. Scratch dimensions, as illustrated in the panel for insulin-loaded NP at zero time, were determined using ImageJ software. The advancing cell border is highlighted using a dashed line.



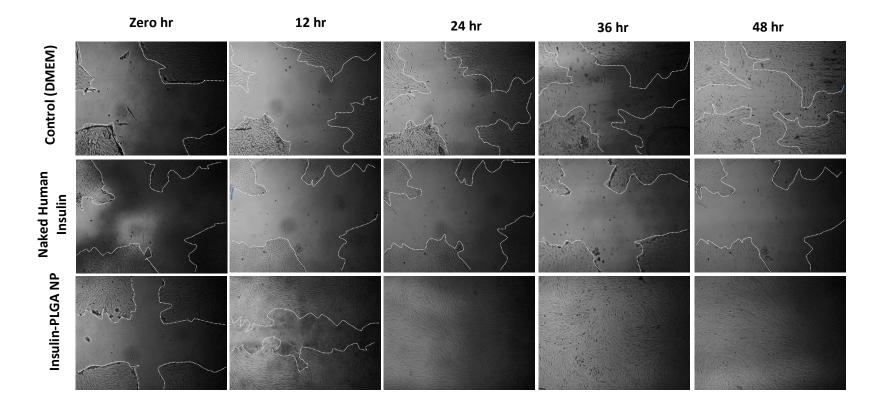


Fig. 5B. Representative images of scratch closure with respect to time for Hs27 cells following treatment with a control (DMEM), free insulin and insulin-loaded NP (FII).

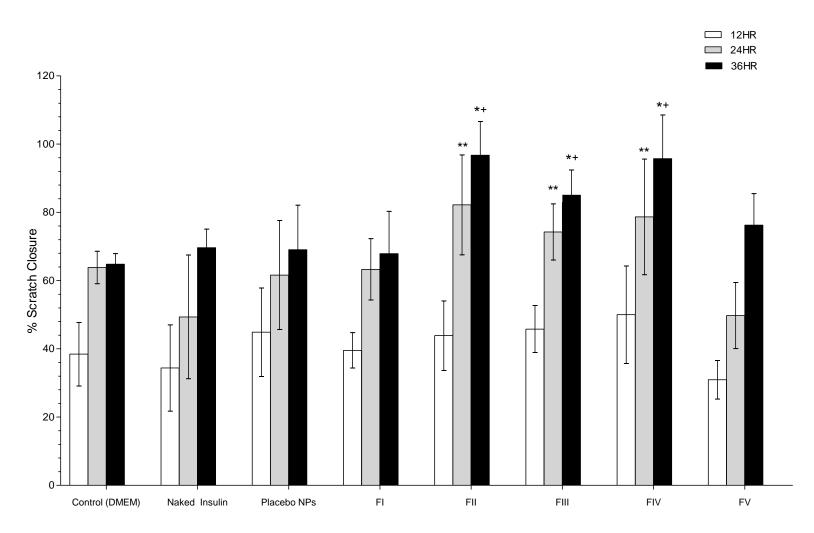


Fig. 6A. HaCaT cell scratch closure assay evaluating bioactivity of recombinant human insulin released from PLGA NP.

Fig. 6A.



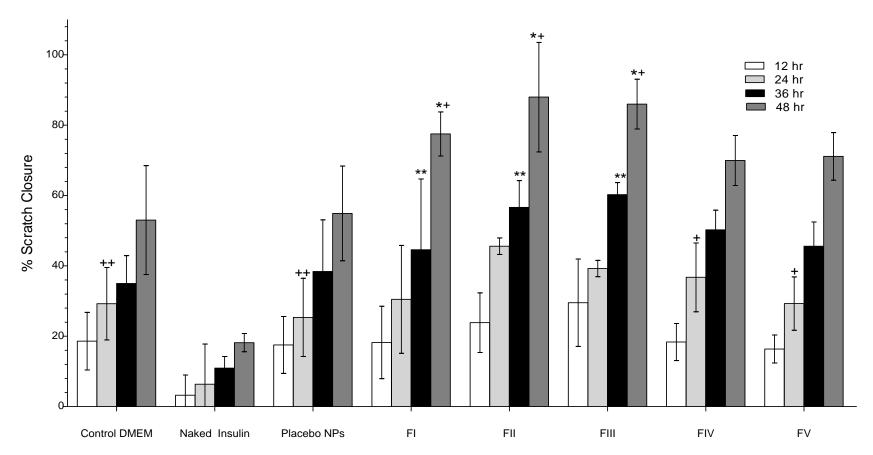


Fig 6B. Hs27 cell scratch closure assay evaluating bioactivity of recombinant human insulin released from PLGA NP. Single asterisks indicate statistical significance between control (DMEM) and insulin-loaded NP. \*\* and \*+ indicates statistical significance between placebo NP and insulin-loaded NP, + indicates statistical significance between free (naked) insulin and insulin-loaded NP; ++ indicates statistical significance between free (naked) insulin and insulin-loaded NP; ++



24 hr

36 hr

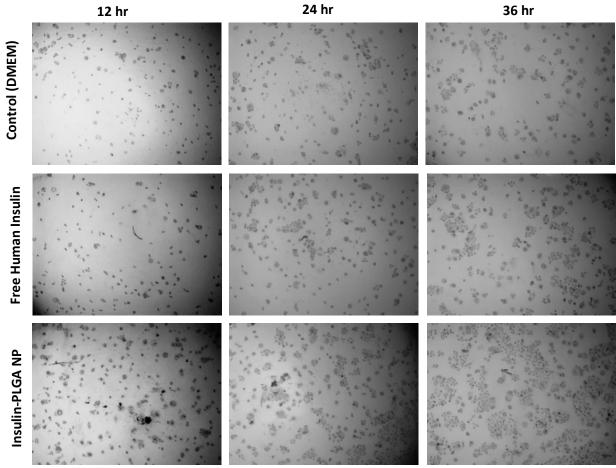


Fig 7A. Representative images of HaCaT cell line showing cell proliferation, measured by MTT assay, treated with control, naked insulin and insulin-loaded NP (FII) at different time intervals of 12, 24 and 36 hours, respectively.

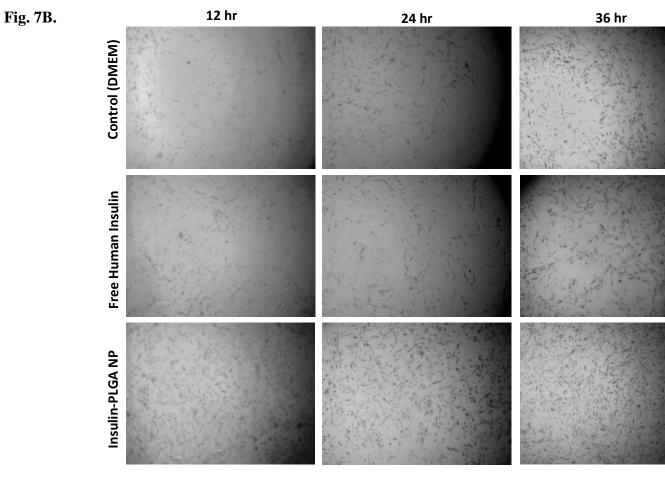


Fig. 7B. Representative images of Hs27 cell line showing cell proliferation, measured by MTT assay, treated with control, free insulin and insulin-loaded NP (FII) at different time intervals of 12, 24 and 36 hours, respectively.



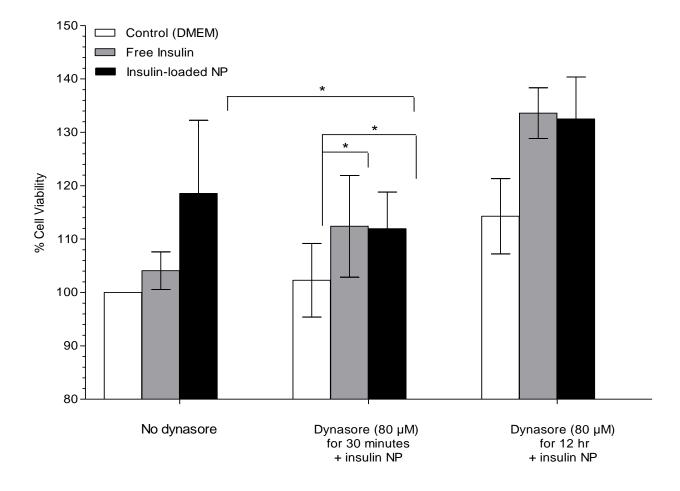


Fig. 8A. Evaluation of HaCaT cell viability (%), measured by MTT assay, following treatment with control, free insulin and insulin-loaded NP (FII) showing the inhibitory effect of dynasore exposure. Between groups significance indicated by \* (p<0.02).



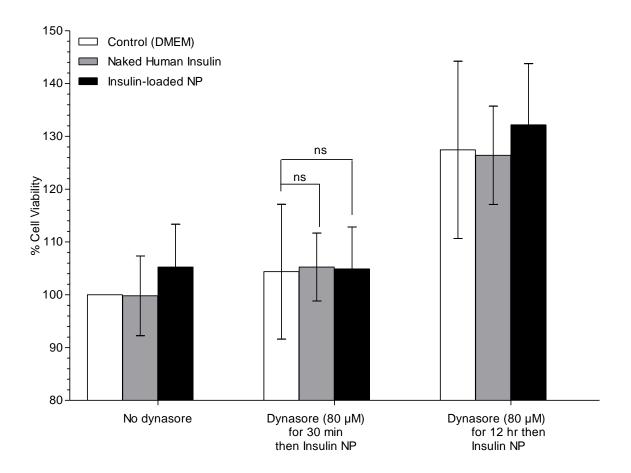


Fig 8B. Hs27 cell viability (%), measured by MTT assay, treated with control, free insulin and insulinloaded NP (FII) showing the inhibitory effect of dynasore. Between groups ANOVA Results showed no significant difference (ns).

Fig. 9.

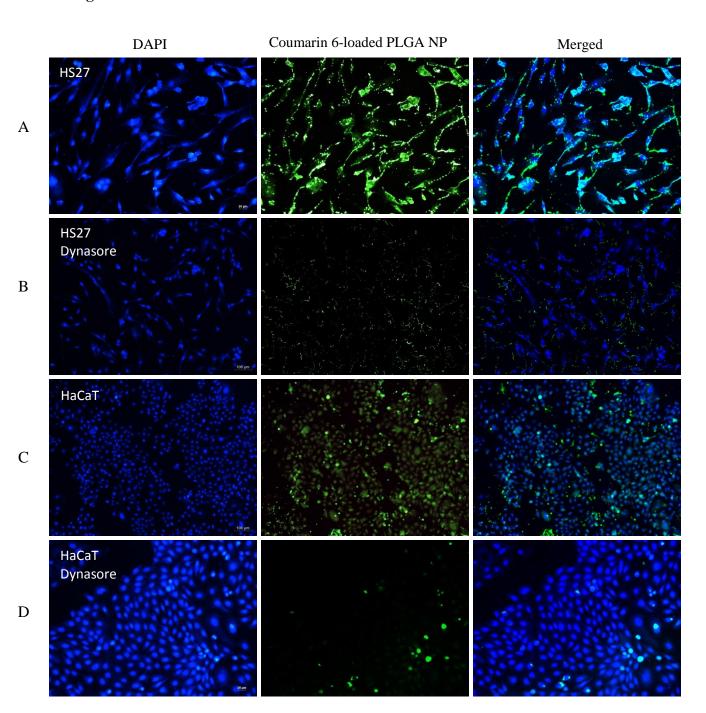


Fig 9. Fluorescence imaging microscopy of HS27 cells (A, B) and HaCaT cells (C, D) after incubation for 24 hours at 37 °C with coumarin 6-loaded NP (modified FII). A and C are control images (absence of dynasore), B and D are images of cells treated with dynasore (80  $\mu$ M) for 30 minutes before addition of coumarin 6-loaded NP.

## Table 1.

Effect of PEG content on size, charge and drug entrapment data for insulin-loaded PLGA NP

Formula code	PEG content in primary emulsion M <sub>w</sub> (% w/w)	Z-average (nm)	PDI	Zeta potential (mV)	Drug loading μg per mg NP	Direct EE (%)*	Indirect EE (%) <sup>†</sup>
FI		297.8±18.8	0.15±0.02	-3.94±0.02	28.47±5.35	56.9±10.7	69.1±0.6
FII	2 kDa (5%)	202.6±20.6	0.38±0.06	-5.70±0.17	33.86±2.71	67.7±5.4	69.5±3.3
FIII	2 kDa (10%)	186.9±26.0	0.26±0.04	-5.75±0.03	35.60±3.84	71.2±7.6	69.6±5.6
FIV	5 kDa (5%)	243.5±45.5	0.35±0.03	-7.52±0.07	31.73±4.14	63.4±8.2	73.7±3.2
FV	5 kDa (10%)	255.6±28.9	0.38±0.02	-8.76±0.17	30.26±4.91	60.5±9.8	69.7±3.0

Data represent mean ± SD of three replicates. \*Direct entrapment efficiency (EE) measured by BCA †Indirect EE measured by HPLC.

## Table 2(a)

Percent cell viability of HaCat cells treated with insulin-loaded NP at three time points over 36 hours

Treatment	% Cell Viability				
	12 hours	24 hours	26 h avera		
	12 nours	24 nours	36 hours		
Control (DMEM)	$100.00 \pm 0.00$	$100.00 \pm 0.00$	$100.00 \pm 0.00$		
Naked Human insulin	98.12±4.19	$104.22 \pm 6.01$	99.80±8.63		
Placebo NP	115.32±5.86	$105.35 \pm 5.41$	98.38±9.97		
FI	115.83±5.05	107.56±10.61	110.28±4.84		
FII	114.88±6.79	104.49±6.22	102.12±7.43		
FIII	122.77±10.58	111.80±7.09	112.88±7.98		
FIV	118.43±7.20	110.26±5.67	107.06±9.05		
FV	124.38±5.55	110.63±4.82	114.82±5.50		

\* results show mean±SD of six replicates

## Table 2(b)

Percent cell viability of Hs27 cells treated with insulin-loaded NP at three time points over 36 hours

Treatment	% Cell Viability*					
	12 hours	24 hours	36 hours			
Control (DMEM)	100.00±0.00	100.00±0.00	$100.00 \pm 0.00$			
Naked Human insulin	$100.18 \pm 10.24$	100.92±5.88	101.96±13.46			
Placebo NP	102.82±12.97	104.25±14.73	107.51±13.01			
FI	111.73±8.18	103.63±13.60	111.32±17.79			
FII	114.00±16.64	104.35±6.07	$104.57 \pm 11.84$			
FIII	115.98±16.00	103.68±3.79	105.98±14.71			
FIV	107.45±15.73	114.74±5.37	$114.23 \pm 18.00$			
FV	108.80±16.92	108.26±8.93	100.91±13.32			

\* results show mean±SD of six replicates

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